

Yum China Holdings, Inc.

Procedure for Handling Anti-Corruption Breaches

Yum China is committed to upholding the highest standards of business conduct, which includes a zero-tolerance stance toward all forms of corruption, including bribery of government officials or private business partners. To formalize this commitment, Yum China has established the “Yum China International Anti-Corruption Policy” (“Anti-Corruption Policy”), that strictly prohibits all corrupt activities ([Yum China Holdings, Inc. CODE OF CONDUCT](#)). This policy outlines the procedure for handling anti-corruption breaches.

Procedure for handling anti-corruption breaches

Any investigation into alleged, reported or suspected violations of the Anti-Corruption Policy will be conducted by the Investigation & Assets Protection team, Human Resources, Legal, or Internal Audit departments, depending on the nature of the case. If warranted, the findings and details of such investigations will be reported to the Discipline Committee. The Discipline Committee is responsible for determining the appropriate disciplinary or legal actions to be taken against individuals who violated the Anti-Corruption Policy. The corrective or disciplinary actions include verbal warning, written warning, and dismissal. Details of such investigation and its results will be reported to the Audit Committee of the Board of Directors in accordance with our internal escalation process, and may be reported to governmental authorities.

