

Yum China Holdings, Inc.

Anti-Corruption Supplementary Information

Yum China is committed to upholding the highest standards of business conduct, which includes a zero-tolerance stance toward all forms of corruption, including bribery of government officials or private business partners. To formalize this commitment, Yum China has established the “Yum China International Anti-Corruption Policy” (“Anti-Corruption Policy”), that strictly prohibits all corrupt activities (Yum China Holdings, Inc. CODE OF CONDUCT). This document provides key information regarding the Company’s anti-corruption efforts, covering internal audit, breach handling procedures, and employee training.

Anti-Corruption Internal Audit

As part of Yum China’s proactive internal audit efforts, a company-wide risk assessment is conducted annually across all business operations. Internal audits are then carried out based on the results of this risk assessment. Ethical standards, including compliance with anti-corruption policy, is a component of the internal audits.

Anti-Corruption Breach Handling Procedures

Any investigation into alleged, reported or suspected violations of the Anti-Corruption Policy will be conducted by the Investigation & Assets Protection team, Human Resources, Legal, or Internal Audit departments, depending on the nature of the case. If warranted, the findings and details of such investigations will be reported to the Discipline Committee. The Discipline Committee is responsible for determining the appropriate disciplinary or legal actions to be taken against individuals who violated the Anti-Corruption Policy. The corrective or disciplinary actions include verbal warning, written warning, and dismissal. Details of such investigation and its results will be reported to the Audit Committee of the Board of Directors in accordance with our internal escalation process, and may be reported to governmental authorities.

Anti-Corruption Internal Training

All employees of the Company are required, on an annual basis, to complete the *Yum China Code of Conduct Questionnaire* and certify in writing that they have read and understood the *Yum China Code of Conduct*. We arrange for our employees to complete the Yum China Code of Conduct online training every year, which covers anti-corruption requirements and reporting channels and process for ethical misconducts.

